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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Stephen MARTONE

Application No./Patent No./Control No.: 10/572,188 Filed/Issue Date: I.A. September 20, 2004

Entitled: **BRAIDED MINIMALLY INVASIVE CHANNEL**

Vision-Sciences, Inc.

, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United Patent States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Mark Landman
Signature

Mark LANDMAN
Printed or Typed Name

VP of Operations
Title

Nov 27, 2006
Date

508 650 9971
Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged,

Stephen MARTONE, 12 Wanda Ln. Nashua, NH 03062, Hills Borough County, USA

(hereinafter called the "Assignor") hereby sells, assigns and transfers to

Vision-Sciences, Inc., 9 Strathmore Road, Natick, MA 01760 USA, a company incorporated under the laws of the State of Delaware

hereinafter called the "Assignee"), its successors, assigns, nominees or other legal representatives, their entire right, title and interest in and to the invention(s) filed under title

Braided Minimally Invasive Channel

described and claimed in

PCT Application No. PCT/US04/30807 filed on September 20, 2004

and in and to said Patent Application and all patent applications derived therefrom, and all original and reissued patents granted therefor, and any and all national phases, continuations and divisions thereof, including, but not limited to, any and all extensions, reexaminations, substitutes and renewals, and including the right to apply for and obtain patents in the US, Canada and all other countries, the priority rights under International Conventions, and any and all Letters Patent which may be granted thereon; all rights to collect and retain all royalties and other considerations arising from said patent applications; and all rights to sue for past, present and future infringement; and the right to collect and retain all damages collected or awarded thereunder;

Said Assignor warrants that he has the full right to convey the entire interest herein assigned, and that he has not executed and will not execute any agreement in conflict herewith;

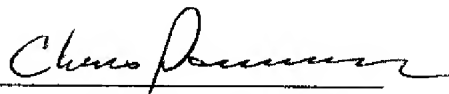
Said Assignor authorizes and requests any Official of any country whose duty it is to issue patents on applications as aforesaid, to issue said Letters Patent to said Assignee, its successors, assigns, nominees or other legal representatives, for the sole use and benefit of said Assignee, its successors, assigns, nominees or other legal representatives; and

Said Assignor agrees to sign all lawful papers, make all rightful oaths, do all lawful acts requisite for such patent applications, and do everything possible to aid said Assignee, its successors, assigns, nominees or other legal representatives, to apply for, obtain and enforce patent protection for said invention(s).

Signed (mo/day/yr) 1-4-06 at (city) NATICK by


Stephen MARTONE

Witness


04 JAN 2006